



CAPITAL MARKET CONSULTANTS

222 EAST ERIE STREET MILWAUKEE, WISCONSIN 53202

WWW.CMARKC.COM 414-727-7990

Investment Research

RESEARCH CONSULTING SERVICES

Capital Market Consultants is the leading business consulting and investment advisory firm providing customized open architecture investment management (OAIM¹) business solutions for wealth managers and financial institutions. We work with developing and mature organizations and senior RIA practices to grow their OAIM investment businesses. Our outsourced services can be comprehensive and include assisting in the development of complete open architecture investment advisory programs (e.g. wrap, fund or UMA) or smaller, more defined business solutions like ongoing investment manager/mutual fund research, developing client proposal systems and private labeled economic and market research.

When clients engage us they can focus on what they do best to grow their business: gather assets, develop financial plans and manage client relationships. Our business model is to work for clients as if we are an extension of their staff. We encourage prospective clients to speak directly with our research clients for their first hand experience.

Our research coverage includes a robust list of SMA, mutual fund, exchange traded fund and collective trusts investment strategies. Clients seek our research on existing product rosters while others ask us to develop a roster from scratch. We are continuously looking to add investment strategies to our list of recommendations for depth, breadth and new client applications. To our knowledge we are the only firm in the US regularly providing their clients a comprehensive and ongoing written due diligence record. This record is used for insightful decision making but also to establish a written history of fiduciary oversight.

Your managed product(s) may have application across multiple distribution channels in a variety of industries we work with including:

- Banking and Trust
- RIA/Wealth Manager
- Brokerage
- Insurance
- Multi-Family Office
- Legal

As you can well understand, the back office and operational issues of our clients can vary; some clients have wrap programs and are self-clearing while others custody and clear through a third party (e.g. Fidelity, T.D. Ameritrade, Schwab, Northern Trust, etc.). Each distribution opportunity is likely to be somewhat different and should be evaluated on its merits for your firm.

ABOUT US

About Us

Founded in 2002 and located in Milwaukee, we serve a broad range of clients throughout the United States. Flexibility and choice define our consultative approach to service.

Mission

Our mission is to advance the financial well-being of investors and to make it possible for those financial intermediaries with whom we work to be the investment provider of choice in their local markets.

Clients

CMC serves various intermediaries that outsource key investment program functions. This enhances their service to clients, differentiates them and documents thorough execution of fiduciary responsibilities. Our clients include banks, trust companies, wealth management firms, broker-dealers, accounting firms, law firms, insurance companies, and family offices.

Team

CMC employs seven associates. Our credentialed staff has over 100 years of combined investment experience.

Independent and Impartial

The freedom to provide impartial, independent and objective advice is fundamental to who we are as an organization. We are not affiliated with any investment management firm nor do we receive compensation from any such organization for recommending their investment strategies. CMC is privately held.

For more information contact Barry Mendelson at 414-727-7995 or barry@cmarkc.com.

¹ *OAIM solutions may include separately managed accounts, mutual funds, exchange traded funds and alternative investments.*